

AGENDA

Background Investigator Symposium Fantasy Springs Resort & Casino Indio, California October 23rd & 24th, 2012

October 23, 2012 – Day 1

7:00 – 8:00 Registration/Continental Breakfast

8:00 – 8:30 Opening Remarks/Prayer

8:30 – 10:30 Analyzing Financial Reports for Vendor Applicants - Panel Discussion

Panel: Accounting Firm Representative
BGC Audits Section Representative
TGA Representative

The panel will discuss the interpretation and analysis of vendor-supplied financial reports and areas of concern for background investigators. An audience Q&A will follow short presentations.

10:30 – 10:45 Break

10:45 – 11:45 Analyzing Credit Reports

Instructor:

This presentation will consist of an overview of credit reports provided by current credit report providers (Trans Union, Equifax, etc), to include familiarization and definition of various codes and entries included in automated credit reports.

11:45 – 1:00 Lunch (Lunch on your own)

1:00 – 2:00 Criminal/Civil Justice System Overview

Instructor: Associate Judge John Madigan, Intertribal Court of Southern California

This presentation will consist of an overview of the various components of the criminal and civil justice system. This review will explain the difference between public offenses, misdemeanors, and felonies, the difference between parole and probation, and issues related to civil torts. Attendees will be provided resources concerning where to obtain records related to an applicant's history with these processes.

2:00 – 3:00 Social Media as an Information Source

Instructor: David Sanchez, Criminal Investigative Specialist II, California DOJ

This presentation will provide an overview of the components of the most commonly used Internet-based social networking sites. Attendees will be provided guidance in the access and use of social networking sites as an information source during applicant background investigations. Attendees will be provided point of contact information for the most common sites.

3:00 – 3:15 Break

3:15 – 4:00 Background Investigation Red Flags

Instructor: BGC Tribal Licensing Unit

Attendees will be provided an overview of the most common areas of discrepancy and concern during applicant background investigations.

4:00 – 5:00 State Backgrounds of Gaming Vendor

Instructor: BGC Tribal Licensing Unit

This presentation will describe the process utilized by the Bureau of Gambling Control to conduct gaming vendor background investigations.

October 24, 2012 – Day 2

7:00 – 8:00 Continental Breakfast

8:00 – 10:00 Detecting Fraudulent Documents

Instructor:

This presentation will familiarize attendees with the identification of the most commonly produced fraudulent identification documents, to include drivers licenses, state identification cards, military identification, immigration documents, social security cards, school transcripts and degrees.

10:00 – 10:15 Break

10:15 – 11:45 Vendor Forum

Panel: (Representatives of companies listed below)

Panel members will discuss the resources available to assist Gaming Commissions in conducting background investigations. Ameri-check, Lexis Nexis, Vigilant, Cross Match, Veridocs; what can these companies do for you?

- 11:45 – 1:00 Lunch (Lunch on your own)
- 1:00 – 3:00 Interview Skills and Signs of Deception
- Instructor: Dave Vialpando, CA DOJ/BGC
- This presentation will provide attendees with information of conducting effective interviews of individuals as part of the background investigation process, and common signs which indicate possible deception.
- 3:00 – 3:10 Break
- 3:10 – 4:10 National Indian Gaming Commission (NIGC) Processes
- Instructor:
- This presentation will familiarize attendees with NIGC's processes related to the background investigation process, including fingerprint processing, suitability reports, and no objection letters.
- 4:10 – 5:00 Information Sharing Among Tribal Gaming Commissions
- Panel: TGA Commissioner
TGA Commissioner
TGA Commissioner
TGA Commissioner
- This panel will discuss concerns and strategies in the development of protocols to permit the sharing of gaming industry applicants between Gaming Commissions.

Revised: 8-2-2012